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NOTICE OF ALLOWANCE AND FEE(S) DUE

32294

7590

10/02/2008

SQUIRE, SANDERS & DEMPSEY L.L.P. 8000 TOWERS CRESCENT DRIVE 14TH FLOOR VIENNA, VA 22182-6212 EXAMINER

HUANG, DAVID S

ART UNIT PAPER NUMBER

2611

DATE MAILED: 10/02/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/770.395 | 02/04/2004 | Ari Hottinen | 60091.00269 | 2744 |

TITLE OF INVENTION: TRANSMISSION METHOD AND TRANSMITTER

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 01/02/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS; This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ap in m

| appropriate. All further indicated unless correct maintenance fee notifica | correspondence includir ed below or directed otl | ng the Patent, advance on nerwise in Block 1, by (a | rders and notification of r a) specifying a new corres | naintenance fees w pondence address; | vill be mailed to the curre and/or (b) indicating a so | ent correspondence address as eparate "FEE ADDRESS" for | |
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| 8000 TOWERS 14TH FLOOR | NDERS & DEMPS CRESCENT DRIV | | I he | Cert reby certify that this | tificate of Mailing or Tra is Fee(s) Transmittal is be | | |
| VIENNA, VA 2 | 22182-0212 | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | | | | (Date) | |
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| | | THOD AND TRANSMI | | | | | |
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| nonprovisional | NO | \$1510 | \$300 | \$0 1 | \$1810 | 01/02/2009 | |
| EXAM | IINER | ART UNIT | CLASS-SUBCLASS | | | | |
| HUANG, | | 2611 | 375-267000 | | | | |
| Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON | | | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | |
| PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI | less an assignee is ident th in 37 CFR 3.11. Comj GNEE | ified below, no assignee pletion of this form is NO | data will appear on the p. T a substitute for filing an (B) RESIDENCE: (CITY | atent. If an assigno assignment. and STATE OR C | OUNTRY) | e document has been filed for | |
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| 4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies | | | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). | | | | |
| 5. Change in Entity Sta | itus (from status indicate as SMALL ENTITY state | · · · · · · · · · · · · · · · · · · · | ☐ h Applicant is no lon | car alaiming SMAI | LL ENTITY status. See 37 | CED 1.27(a)(2) | |
| NOTE: The Issue Fee an | d Publication Fee (if req | uired) will not be accepte | d from anyone other than t | | | r the assignee or other party in | |
| | | ites Patent and Trademark | | | | | |
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| Typed or printed name | | | | | o | | |
| an application. Confiden submitting the complete this form and/or suggest | tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DO | U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th | 1.14. This collection is est depending upon the indivite Chief Information Office | imated to take 12 r idual case. Any co cr. U.S. Patent and ' | ninutes to complete, inclu- mments on the amount of Trademark Office, U.S. D | and by the USPTO to process) ding gathering, preparing, and time you require to complete epartment of Commerce, P.O. er for Patents, P.O. Box 1450, | |

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| 8000 TOWERS CRESCENT DRIVE | | | ART UNIT | PAPER NUMBER | |
| 14TH FLOOR VIENNA, VA 221 | 82-6212 | | 2611 DATE MAILED: 10/02/200 | 8 | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 625 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 625 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) |
|---|---|---|
| | 10/770,395 | HOTTINEN ET AL. |
| Notice of Allowability | Examiner | Art Unit |
| | DAVID HUANG | 2611 |
| The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this or other appropriate communica IGHTS . This application is subje | application. If not included tion will be mailed in due course. THIS |
| 1. 🔀 This communication is responsive to 9/16/2008. | | |
| 2. 🔀 The allowed claim(s) is/are <u>1-10,12-14 and 16-18</u> . | | |
| 3. Acknowledgment is made of a claim for foreign priority up a) All b) ☐ Some* c) ☐ None of the: 1. Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have | e been received. e been received in Application No |) |
| 3. Copies of the certified copies of the priority do | cuments have been received in t | his national stage application from the |
| International Bureau (PCT Rule 17.2(a)). * Certified copies not received: | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submineformal PATENT APPLICATION (PTO-152) which give | MENT of this application. nitted. Note the attached EXAMIN | IER'S AMENDMENT or NOTICE OF |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted | |
| (a) ☐ including changes required by the Notice of Draftspers | | TO-948) attached |
| 1) hereto or 2) to Paper No./Mail Date | · · | , |
| (b) ☐ including changes required by the attached Examiner' Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1) | .84(c)) should be written on the dr | awings in the front (not the back) of |
| each sheet. Replacement sheet(s) should be labeled as such in to 6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT | sit of BIOLOGICAL MATERIA | AL must be submitted. Note the |
| | | |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) | 5. ☐ Notice of Inform | al Patant Application |
| Notice of References Cited (PTO-092) Notice of Draftperson's Patent Drawing Review (PTO-948) | 6. 🔲 Interview Summ | ary (PTO-413), |
| 3. ☐ Information Disclosure Statements (PTO/SB/08), | Paper No./Mail 7. | Date endment/Comment |
| Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material | | ement of Reasons for Allowance |
| | 9. | |
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DETAILED ACTION

Request for Continued Examination

1. The request filed on 9/16/2008, for a Request for Continued Examination (RCE), is acceptable and a RCE has been established. An action on the RCE follows.

Allowable Subject Matter

- 2. Claims 1-10, 12-14, and 16-18 are allowed.
- 3. The following is an examiner's statement of reasons for allowance: The present invention comprises a method and apparatus for transmitting layer channel symbol as linear combinations of complex modulation symbol via at least two transmit paths, constructing the layered channel symbols using at least first and second non-zero coefficients in at least one layer in a linear combination, wherein the ratio of the first and second coefficients is not a real number, and transmitting at least one modulation symbol using a first non-zero total power and a second nonzero total power on first and second transmit paths, respectively. The closest prior art, Walton et al. (US 2002/0154705), Tirkkonen et al. (WO 03/001728), and Ketchum et al. (US 20030048856), disclose a similar system that transmits channel symbols that are a linear combination of complex modulation symbols over at least two transmit paths, constructs the channel symbols using a pre-coding matrix, and transmits a symbol using a first total power and a second total power on first and second transmit paths, respectively. However, Walton et al., Tirkkonen et al. and Ketchum et al. fail to disclose using for at least another modulation symbol, the first non-zero total power for transmission on the second transmit path, and the second nonzero total power for transmission on the first transmit path, wherein the first and second non-zero total powers are not equal as recited in claims 1, 7, 8, and 12. Rather, Walton and Ketchum

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disclose that power control in a communication system is a closed-loop control mechanism where the power used on some resource is controlled based on measurements. Whereas, the present claims are directed to an open-loop space-time block code. Therefore, Walton is directed to subject matter non-analogous to the present invention. Tirkkonen fails to cure the deficiencies of Walton and Ketchum with respect to the aforementioned claim features. These features distinguish independent claims 1, 7, 8, and 12 over the prior art, rendering them allowable.

Dependent claims 2-6, 9, 10, 13, 14, and 16-18 are likewise allowable.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DAVID HUANG whose telephone number is (571)270-1798. The examiner can normally be reached on Monday - Friday, 8:00 a.m. - 5:00 p.m., EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shuwang Liu can be reached on (571) 272-3036. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DSH/dsh 9/24/08 /David Huang/ Examiner, Art Unit 2611 /Shuwang Liu/ Supervisory Patent Examiner, Art Unit 2611